



## AUDIT FINDINGS HAPPEN

# CALL US WHEN YOU NEED AN AUDIT VALIDATION ✓

BluePeak has been conducting validation audits long before CMS formalized the process in 2015. Since then, BluePeak has successfully completed...



From the time CMS approves your corrective action plans (CAPs), you and your team have 150 days to correct deficiencies found during the audit, hire an Independent Auditor (IA) to conduct the validation audit, and attest to CMS that all deficiencies have been corrected and will not reoccur.

If a validation audit is in your future, BluePeak Advisors can assist you in two ways.

- 1 Providing the Independent Validation Audit (IVA) for CMS**
- 2 Providing mock validation audits before the CMS validation audit to ensure your universes are clean, and all conditions found during the CMS program audit have been remediated.**



## IA VALIDATION PROCESS

### 1 HIRING AN IA FIRM

CMS does not recommend IA firms. However, CMS requires that the hired IA be independent, have no conflict of interests and must have subject matter and clinical expertise in the areas of Medicare Part C and Part D that will be reviewed for validation. *Licensed pharmacists, physicians, or registered nurses may be required depending on the scope of the validation audit.*

BluePeak's auditors are comprised of individuals with deep subject matter expertise BluePeak's physicians, nurses, pharmacists, compliance and operational professionals provide a comprehensive team of experts to that are uniquely qualified to understand the issues and validate that the issues have been fixed.

### 2 DEVELOP A VALIDATION WORK PLAN

BluePeak works with the Plan to develop a validation work plan, including the scope and timeline, to be submitted to CMS.

### 3 CONDUCT THE VALIDATION

BluePeak's subject matter experts conduct the Validation in accordance with the CMS approved work plan.

### 4 SUBMIT THE VALIDATION REPORT

BluePeak submits the validation report to the Sponsor. If the Sponsor decides the program audit conditions have been corrected and are not likely to recur, based on the IA report, the Sponsor submits the IA's report to CMS.

### 5 CLOSE OUT NOTICE OR NEXT STEPS

Based upon the report review, CMS will either issue an audit close out notice or advise the sponsor of next steps.

NEED AN IVA? →

Visit our website at [www.bluepeak.com](http://www.bluepeak.com) or call us at 630-694-5215 for a free consultation  
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