

I REALLY  
HOPE I GET A  
CMS PROGRAM  
AUDIT NOTICE...

...SAID NO ONE,  
**EVER!**

Don't wait until your Plan gets a CMS Program Audit Notice to find out you are not audit ready. BluePeak Advisors has helped hundreds of plans **get and stay audit ready**. Wouldn't it be great to know that you have done everything possible to prepare in the event you get a Program Audit notice?



**BluePeak conducts mock audits using CMS timelines and protocols to prepare Plans for the actual audit experience.**

BluePeak's mock audits focus on the following areas:

- **Operations Mock Audit:** Formulary Administration (FA); Part D Coverage Determinations, Appeals and Grievances (CDAG); Part C Organization Determinations, Appeals and Grievances (ODAG); and Special Needs Plan-Model of Care (SNP-MOC)
- **Mock Compliance Program Effectiveness Audit (CPE)**
- **Mock Audit: Medicare-Medicaid Plan (MMP):** Medicare-Medicaid Plan Care Coordination & Quality Improvement Program Effectiveness (MMP-CCIQE) and Medicare-Medicaid Plan Service Authorization Requests, Appeals and Grievances (MMP-SARAG)
- **Enrollment**
- **Call Center**
- **1/3 Financial Audits**



**BluePeak has a proprietary process for selecting samples and tracers and targeting issues commonly identified by CMS. BluePeak's participation in CMS Program Audits throughout the audit season uniquely positions us to identify new and emerging issues.**

Some benefits of a mock audit include opportunities to:

- **Practice pulling accurate and timely universes**
- **Improve operations by identifying problematic or noncompliant operational areas**
- **Establish essential oversight of first tier, downstream, and related entities (FDRs) as audit procedures are put into practice and tested**
- **Avoid ICAR, CAR and audit observations by remedying operational weaknesses in advance of an actual audit by using CMS' list of common conditions**
- **Protect Star Ratings**
- **Develop, implement, and demonstrate a robust auditing and monitoring processes as part of an effective compliance program (a requirement for any Part C or D Medicare sponsor)**



**BluePeak can help. Contact us today.**

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## WHAT OUR CLIENTS ARE SAYING ABOUT BLUEPEAK'S MOCK AUDITS

“ We have done mock audits internally and I was skeptical that hiring an outside firm would detect anything that we had not. BluePeak not only found things that we had not, they did a great job teaching us what to look for as we do our own monitoring and auditing.”

★ Compliance Officer, large national MA-PD Plan

“ Our Plan did a mock audit and less than a month later got a Program Audit notice. We were definitely more prepared for the audit, understood the process and were familiar with our issues because of the work we did with BluePeak.”

★ COO, large regional health plan

“ BluePeak not only tested our Plan readiness in our mock audit, but also helped improve the readiness of our delegates.”

★ Corporate Compliance Officer Regional MA-PD and MMP Plan

## WHAT CMS IS SAYING ABOUT MOCK AUDITS

### ! SPONSOR TIP

**Is your organization  
undergoing a program audit?**

**Do you think you will undergo  
an audit in the near future?**

The audit protocols are valuable resources for audit preparation and detail the process for audits. **Sponsors are encouraged to perform mock audits, including generating universes.** Mock audits will not only help you prepare for an actual CMS audit, but may help you improve your operations by identifying areas that are problematic or otherwise non-compliant with CMS regulations.\*

\*From the 2017 Part C and Part D Program Audit and Enforcement Report published by the Medicare Parts C and D Oversight and Enforcement Group Date on May 8, 2018



Contact BluePeak today for a **FREE** consultation!  
**[www.bluepeak.com](http://www.bluepeak.com)**